

GK Wealth Management, LLC 98 Winter Street Reno, NV 89503 (775) 354-6622

# Griffin Michael Kirsch

Chief Compliance Officer, Investment Advisor Representative

Individual CRD No. 6388640

Form ADV Part 2B – Brochure Supplement

Effective: March 31, 2025

This Form ADV Part 2B ("Brochure Supplement") provides information about the background and qualifications of Griffin Michael Kirsch as a supplement to the information contained in GK Wealth Management, LLC's (referred to as "we," "our," "us," "Firm," "Advisor," or "GWM") Form ADV Part 2A Disclosure Brochure. You should have received a copy of that Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the firms' Disclosure Brochure or this Brochure Supplement, please contact GK Wealth Management, LLC at (775) 354-6622.

Additional information about Mr. Kirsch is available on the SEC's Investment Adviser Public Disclosure website at <a href="www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a>. The site is searchable by a unique identifying number known as a CRD number. Mr. Kirsch's CRD number is 6388640.

## Item 2: Educational Background and Business Experience

## EDUCATIONAL BACKGROUND

Griffin Michael Kirsch, born in 1991, is dedicated to advising clients of GK Wealth Management, LLC as Chief Compliance Officer and an Investment Advisor Representative. Mr. Kirsch earned his Bachelor of Science and Business Administration degree in Finance and Accounting from the University of Nevada in 2014. Additional information regarding Mr. Kirsch's business background is included below.

## **BUSINESS BACKGROUND**

04/2018 - Present	GK Wealth Management, LLC	Chief Compliance Officer, Investment Advisor Representative
05/2017 - Present	GK Insurance Group	Independent Insurance Broker
10/2017 - 01/2018	Allegis Investment Advisors, LLC	Investment Advisor Representative
04/2017 - 09/2017	Summit Brokerage Services, Inc.	Registered Representative
03/2017 - 09/2017	Triumph Capital Management	Investment Advisor Representative
10/2014 - 01/2017	Edward Jones	Financial Advisor

## **Item 3: Disciplinary Information**

On September 6, 2017, Mr. Kirsch was discharged from Triumph Capital Management, a Registered Investment Advisor, for allegations about violation of firm policy regarding social media and private security transactions. On September 6, 2017, Mr. Kirsch was discharged from Summit Brokerage Services Inc. for allegations about violations of firm policy regarding social media and private securities transactions. Due to Griffin Kirsch being "dually" registered with affiliated firms, Summit Brokerage Services and Triumph Capital Management, these events listed are the one in the same and not two separate events.

In April 2019, a regulatory action was initiated against Mr. Kirsch by the State of Nevada in connection with allegations related to a social media violation regarding Summit Brokerage Services firm policy. There were also allegations regarding private security transactions, of which FINRA did an investigation to find that there were no findings. Additionally, Mr. Kirsch was subject to an order & consent related to the above two items based on the State of Nevada and GK Wealth was required to sign the consent order requiring heightened supervision for a 24-month period from April 1, 2019, through April 21, 2021. \*\*\*PLEASE NOTE: The Consent Order has been lifted by the Nevada Secretary of State Securities Division as of May 3rd, 2021. GK is no longer under heightened supervision.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. We do encourage you to independently view the background of Mr. Kirsch on the Investment Adviser Public Disclosure website at <a href="https://www.adviserinfo.sec.gov">www.adviserinfo.sec.gov</a> by searching with his full name or his Individual CRD No. 6388640.

### **Item 4: Other Business Activities**

Griffin Kirsch is currently licensed to sell fixed insurance and may engage in product sales with clients for which he will receive additional compensation. These services are offered by Mr. Kirsch through Griffin Kirsch, LLC dba GK Insurance Group and he provides insurance services as an independent insurance agent. Any commissions received through the sales of insurance policies do not offset advisory fees the client may pay for advisory services provided by GK Wealth Management, LLC. Clients are not required to purchase insurance products from Mr. Kirsch and may seek similar services elsewhere.

Griffin Michael Kirsch is the Managing Member of Griffin M Kirsch LLC, an LLC for business expenses related to his personal business of offering fixed life and annuities.

Mr. Kirsch does not spend any time during or outside of trading hours on this activity. Griffin Michael Kirsch is an investor of Private Placement into RMP 18 LLC.

Griffin Michael Kirsch is a trustee of trust that owns GLAM Holdings, LLC.

Griffin Michael Kirsch is the owner of Glam Properties LLC.

Mr. Kirsch is an active investor and an owner in 960 S. Virginia St, LLC, a Nevada Limited Liability Company. This is a real estate investment and is investment related, but Mr. Kirsch does not spend any time during or outside of trading hours on this activity.

## **Item 5: Additional Compensation**

Griffin Kirsch does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through GK Wealth Management, LLC. However, Mr. Kirsch may receive compensation in the form of commissions from insurance products offered as an insurance agent.

### **Item 6: Supervision**

Supervision of Mr. Kirsch is performed by himself in his capacity as Chief Compliance Officer of GK Wealth Management, LLC. GK Wealth Management, LLC has implemented a policies and procedures manual and Code of Ethics which guides the Firm and its supervised persons in meeting their fiduciary obligations to the firms' clients when providing investment advisory services. As GK Wealth Management, LLC's Chief Compliance Officer, Mr. Kirsch is responsible for the implementation of the Firm's policies and procedures and Code of Ethics. Mr. Kirsch may be contacted at (775) 354-6622 for more information about this Brochure Supplement.

Additionally, GK Wealth, LLC is subject to regulatory oversight by various agencies. These agencies require registration by the firm and its supervised persons. As a registered entity, GK Wealth Management, LLC is subject to examinations by regulators, which can be announced or unannounced. The firm is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Firm.